### WACHOVIA CORP NEW Form 3/A September 19, 2007 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL

OMB Number: 3235-0104 Expires: January 31, 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> RADY ERNEST S TRUST		2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name <b>and</b> Ticker or Trading Symbol WACHOVIA CORP NEW [WB]				
(Last) (First) 11455 EL CAMINO REAL, SUITE 200	(Middle)	04/18/2006	Person(s) to I	ip of Reporting ssuer all applicable	Filed(Month/Day/Year) 04/28/2006		
(Street) SAN DIEGO, CA 9	92130		X Directo Officer (give title below	Othe	Timg(cheen rippheusie Line)		
(City) (State)	(Zip)	Table I -	Non-Deriva	tive Securit	ies Beneficially Owned		
1.Title of Security (Instr. 4)		2. Amount Beneficially (Instr. 4)	of Securities y Owned	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock		49,443		Ι	Explorer Ins.		
Common Stock		96,892		Ι	Rady Family Foundation (1)		
Common Stock		5,932,329	<u>(5)</u>	Ι	Ernest Rady Trust		
Common Stock		372,021		Ι	Evelyn Rady Trust		
Common Stock		614,708		Ι	DHM Trust		
Common Stock		23,980,26	23,980,269		American Assets		
Common Stock		4,850,422	4,850,422		Insurance Co. of the West		
Preferred Stock		4,400		D	Â		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

#### Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
ESOP (Right to Buy)	03/03/2006(2)	03/03/2010	Common Stock	50,996	\$ 36.6	D	Â
ESOP (Right to Buy)	02/18/2007	02/18/2009	Common Stock	16,999	\$ 33.1	D	Â
ESOP (Right to Buy) $(3)$	03/31/2007(4)	03/31/2016	Common Stock	33,952	\$ 56.05	D	Â

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
RADY ERNEST S TRUST 11455 EL CAMINO REAL SUITE 200 SAN DIEGO, CA 92130	ÂX	Â	Â	Â		

## Signatures

Ernest S. Rady 09/19/2007 <u>\*\*</u>Signature of Date Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1)  $\frac{\text{Tax-Exempt Charitable Foundation established under Section 501(c)(3)}{\text{any subsequent Form 4 submissions.}}$
- (2) These shares vest in three equal annual installments beginning 3/3/2006.
- (3) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price.
- (4) The option becomes exercisable in substantially equal installments on each of the five anniversaries of the date of grant (20% per year) beginning March 31, 2007.
- (5) As of 4/18/06, includes 5,203 shares of unvested restricted stock with respect to which provisions exist to allow for the withholding of shares to meet certain tax withholding obligations.

### **Reporting Owners**

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## Â **Remarks:**

Amendment filed on 9/18/07 erroneously included information from later filings. The information contai

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.