## Edgar Filing: HARRIS & HARRIS GROUP INC /NY/ - Form 4

HARRIS & HARRIS GROUP INC /NY/

Form 4 August 07, 2002

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

£Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response...0.5

(Print or Type Responses)

1. Name and Address of Reporting Person*	Issuer Name <b>and</b> Ticker or Trading Symbol						6. Relationship of Reporting Person(s) to Issuer				
Ekblom Harry E.	Harris & Harris Group, Inc. (TINY)						(Check all applicable)				
ĺ .							Director		10% Owner		
			_				Officer (give title below)		Other (specify below)		
(Last) (First) (Middle)  One Rockefeller Plaza, Suite 1430	I.R.S. Identification     Reporting Person,     entity		atement h/Year Augu	for st 2002	7. Individual or Joint/Group Filing (Check Applicable Line)						
	(voluntary)				X	Form filed by One Reporting Person Form filed by More than One Reporting Person					
(Street) New York, NY 10020											
(City) (State) (Zip)	Table I —Non-D	erivative	Secu	rities Ac	quired	, Dispos	sed of, c	r Beneficial	ly Owned		
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transac Code (Instr.8)		(A) or Dis	ities Ac posed ( 3, 4 ar	of (D)	Securiti	ont Ownership S Form: Sied O) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	9/9/02	Code	V	Amount		Price	(Ins 3 and 4)	ŗ.			
Common Stock	8/2/02	P	V	1,680	A	\$2.8739	16,456	D			

FORM 4 (continued)		Table II `Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 3)	or Exercise Price of	3. Transaction Date (Month/Day/Year)	Code	e of r.8) [ (A) (Dis (D) (D)	ictNum SelDate Exercisable and Expiration Date 3) Derivat(Month/Day/Year) Securities Acquired A) or Disposed of D) (Instr.		tion Date	Amor Ur Secu (In	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		9. Number of	10. Ownership Form of Derivative Securities Beneficially Owned at End of Month (Instr. 4)	11. Nature Indirect Benefic Owners (Instr. 4
			Code \		(D)	Date Exercisable	Expiration Date		Amount or Number of Shares				

Explanation of Responses:

/s/ Harry E. Ekblom August 6, 2002

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<sup>\*\*</sup>Signature of Reporting Person Date Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

<sup>\*</sup>If the form is filed by more than one reporting person, see Instruction 4(b)(v).

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.