EAGLE PHARMACEUTICALS, INC. Form SC 13G/A February 14, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### **SCHEDULE 13G**

Under the Securities Exchange Act of 1934 (Amendment No. 3)\*

# **Eagle Pharmaceuticals, Inc.**

(Name of Issuer)

Common Stock, \$0.001 par value per share

(Title of Class of Securities)

269796108

(CUSIP Number)

**December 31, 2017** 

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- o Rule 13d-1(b)
- o Rule 13d-1(c)
- x Rule 13d-1(d)

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

<sup>\*</sup>The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

13G

1 Name of Reporting Persons I.R.S. Identification No. of Above Persons (Entities Only) Scott Tarriff 2 Check the Appropriate Box if a Member of a Group\* (b) x(1)3 SEC Use Only 4 Citizenship or Place of Organization United States 5 Sole Voting Power 663,944 (See Item 4 herein) Number of Shares Shared Voting Power 6 Beneficially 1,280,041 (See Item 4 herein) Owned by Each 7 Sole Dispositive Power Reporting 663,944 (See Item 4 herein) Person With 8 Shared Dispositive Power 1,280,041 (See Item 4 herein) 9 Aggregate Amount Beneficially Owned by Each Reporting Person 1,943,985 (See Item 4 herein) 10 Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares\* o 11 Percent of Class Represented by Amount in Row (9) 12.61% (See Item 4 herein) 12 Type of Reporting Person\* ΙN

CUSIP No. 269796108

(1) This Schedule 13G is filed by Scott Tarriff, Tarriff 2016 Generation Skipping Exempt Family Trust DTD 12/28/2016 and Michael Graves (collectively, the Reporting Persons). The Reporting Persons expressly disclaim status as a group for purposes of this Schedule 13G.

13G

1 Name of Reporting Persons I.R.S. Identification No. of Above Persons (Entities Only) Tarriff 2016 Generation Skipping Exempt Family Trust DTD 12/28/2016 2 Check the Appropriate Box if a Member of a Group\* (b) x(1)3 SEC Use Only 4 Citizenship or Place of Organization New Jersey 5 Sole Voting Power 0 (See Item 4 herein) Number of Shares Shared Voting Power 6 Beneficially 1,103,680 (See Item 4 herein) Owned by Each 7 Sole Dispositive Power Reporting 0 (See Item 4 herein) Person With 8 Shared Dispositive Power 1,103,680 (See Item 4 herein) 9 Aggregate Amount Beneficially Owned by Each Reporting Person 1,103,680 (See Item 4 herein) 10 Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares\* o 11 Percent of Class Represented by Amount in Row (9) 7.43% (See Item 4 herein) 12 Type of Reporting Person\* 00

CUSIP No. 269796108

<sup>(1)</sup> The Reporting Persons expressly disclaim status as a group for purposes of this Schedule 13G.

CUSIP No. 269796108				
1	Name of Reporting Persons I.R.S. Identification No. of Above Persons (Entities Only)			
	Michael Graves			
2	Check the Appropriate (a) (b)	Box if a Member o x (1)	of a Group*	
3	SEC Use Only			
4 Citizenship or Place of Organization United States				
	5		Sole Voting Power 60,040 (See Item 4 herein)	
Number of Shares Beneficially Owned by	6		Shared Voting Power 1,103,680 (See Item 4 herein)	
Each Reporting Person With	7		Sole Dispositive Power 60,040 (See Item 4 herein)	
	8		Shared Dispositive Power 1,103,680 (See Item 4 herein)	
9	Aggregate Amount Beneficially Owned by Each Reporting Person 1,163,720 (See Item 4 herein)			
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares* o			
11	Percent of Class Represented by Amount in Row (9) 7.81% (See Item 4 herein)			
12	Type of Reporting Person* IN			

(1) The Reporting Persons expressly disclaim status as a group for purposes of this Schedule 13G.

CUSIP No. 2	269796108	13G	
T4 1			
Item 1.	(a)	Name of Issuer	
	(u)	Eagle Pharmaceuticals, Inc.	
	(b)	Address of Issuer s Principal Executive Offices	
		50 Tice Boulevard, Suite 315	
		XV 11:001 1 NI 07/77	
		Woodcliff Lake, NJ 07677	
Item 2.			
	(a)	Name of Person Filing	
		Scott Tarriff,	
		Tiff 2016 Cti Cl-ii Et Eil Tt DTD 12/20/	/2016
		Tarriff 2016 Generation Skipping Exempt Family Trust DTD 12/28/	2016,
		Michael Graves	
		Monate States	
		(together, the Reporting Persons )	
	(b)	Address of Principal Business Office or, if none, Residence	
		The address for the principal business office of Scott Tarriff is:	
		c/o Eagle Pharmaceuticals, Inc.	
		50 Tice Boulevard, Suite 315	
		Woodcliff Lake, NJ 07677	
		The address for the principal business office of the Tarriff 2016 Gen	eration Skipping Exempt Family
		Trust DTD 12/28/2016 is:	eration skipping Exempt Falling
		2080 Meeting Street	
		Wayzata, MN 55391	
		wayzata, wii v 55591	
		The address for the residence of Michael Graves is:	
		2000 Marting Street	
		2080 Meeting Street	
		Wayzata, MN 55391	
	(c)	Citizenship	

Scott Tarriff - United States

Tarriff 2016 Generation Skipping Exempt Family Trust DTD 12/28/2016 New Jersey

Michael Graves - United States Title of Class of Securities

Common Stock, \$0.001 par value per share (the Common Stock )

(e) CUSIP Number 269796108

(d)

#### Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

Not applical	ole	
(a)	o	Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
(b)	0	Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
(c)	o	Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
(d)	0	Investment company registered under section 8 of the Investment
		Company Act of 1940 (15 U.S.C 80a-8).
(e)	О	An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);
(f)	О	An employee benefit plan or endowment fund in accordance with
		§240.13d-1(b)(1)(ii)(F);
(g)	0	A parent holding company or control person in accordance with
		$\S240.13d-1(b)(1)(ii)(G);$
(h)	0	A savings associations as defined in Section 3(b) of the Federal
. ,		Deposit Insurance Act (12 U.S.C. 1813);
(i)	O	A church plan that is excluded from the definition of an investment
. ,		company under section 3(c)(14) of the Investment Company Act of
		1940 (15 U.S.C. 80a-3);
(j)	0	A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
(k)	0	Group, in accordance with § 240.13d-1(b)(1)(ii)(K).
` '	*	ordance with \$240.13d-1(b)(1)(ii)(J), please specify the type of
institution:	non e.e. mendalon in dec	ordanies with 32 10.13 d 1(5)(1)(1)(5), produce specify the type of
montation		•

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CUSIP No. 269796108	13G	
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#### Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount beneficially owned:

As of December 31, 2017, Mr. Tarriff beneficially owned 1,943,985 shares of the Issuer s Common Stock consisting of (i) 89,905 shares of Common Stock owned directly by him, (ii) 176,361 shares of Common Stock held by Janney Montgomery Scott LLC CUST FBO Scott Tarriff IRA for the Benefit of Mr. Tarriff (the IRA Trust ), of which Mr. Tarriff is a trustee and, as such, may be deemed to share voting and dispositive power with respect to all shares held by the IRA Trust, (iii) options to purchase 574,039 shares of Common Stock exercisable within 60 days of December 31, 2017 and (iv) 1,103,680 shares of Common Stock held by the Tarriff 2016 Generation Skipping Exempt Family Trust DTD 12/28/2016 (the Family Trust ) for the benefit of Mr. Tarriff s spouse and three children, of which Mr. Graves is the trustee, and as such, while Mr. Tarriff may be deemed to share voting and dispositive power with respect to all shares held by the Family Trust, Mr. Tarriff disclaims beneficial ownership with respect to such shares in the Family Trust, except to the extent of his pecuniary interest therein.

As of December 31, 2017, Mr. Graves beneficially owned 1,163,720 shares of the Issuer s Common Stock consisting of (i) 1,000 shares of Common Stock owned directly by him, (ii) options to purchase 59,040 shares of Common Stock exercisable within 60 days of December 31, 2017 and (iii) 1,103,680 shares of Common Stock held by the Family Trust for the benefit of Mr. Tarriff s spouse and three children, of which Mr. Graves is the trustee. Mr. Graves disclaims any pecuniary interest with respect to such shares in the Family Trust.

(b) Percent of class:

For Mr. Tarriff: based on 14,847,744 shares of the Issuer s Common Stock issued and outstanding as of December 31, 2017 (which does not include 1,241,695 treasury shares) as reported by the Issuer to the Reporting Person and assumes the exercise of 574,039 options held by Mr. Tarriff that are exercisable within 60 days of December 31, 2017. As of December 31, 2017, the 1,943,985 shares of the Issuer s Common Stock beneficially owned by Mr. Tarriff represented 12.61% of such shares outstanding.

For the Tarriff 2016 Generation Skipping Exempt Family Trust DTD 12/28/2016: based on 14,847,744 shares of the Issuer s Common Stock issued and outstanding as of December 31, 2017 (which does not include 1,241,695 treasury shares) as reported by the Issuer to the Reporting Person. As of December 31, 2017, the 1,103,680 shares of the Issuer s Common Stock beneficially owned by the Tarriff 2016 Generation Skipping Exempt Family Trust DTD 12/28/2016 represented 7.43% of such shares outstanding.

For Mr. Graves: based on 14,847,744 shares of the Issuer s Common Stock issued and outstanding as of December 31, 2017 (which does not include 1,241,695 treasury shares) as reported by the Issuer to the Reporting Person and assumes the exercise of 59,040 options held by Mr. Graves that are exercisable within 60 days of December 31, 2017. As of December 31, 2017, the 1,163,720 shares of the Issuer s Common Stock beneficially owned by Mr. Graves represented 7.81% of such shares outstanding.

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote:

Scott Tarriff - 663,944

Tarriff 2016 Generation Skipping Exempt Family Trust DTD

12/28/2016 - 0

Michael Graves 60,040

(ii) Shared power to vote or to direct the vote:

Scott Tarriff - 1,280,041

Tarriff 2016 Generation Skipping Exempt Family Trust DTD

12/28/2016 - 1,103,680

Michael Graves - 1.103.680

(iii) Sole power to dispose or to direct the disposition of:

Scott Tarriff - 663,944

Tarriff 2016 Generation Skipping Exempt Family Trust DTD

12/28/2016 - 0

Michael Graves 60,040

(iv) Shared power to dispose or to direct the disposition of:

Scott Tarriff - 1,280,041

Tarriff 2016 Generation Skipping Exempt Family Trust DTD

12/28/2016 - 1,103,680

Michael Graves - 1,103,680

*Instruction.* For computations regarding securities which represent a right to acquire an underlying security see §240.13d-3(d)(1).

#### Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following O.

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent

**Holding Company.** 

Not applicable

Item 8. Identification and Classification of Members of the Group.

Not applicable

Item 9. Notice of Dissolution of Group.

Not applicable

Item 10. Certification

Not applicable

CUSIP No. 269796108	13G	
	SIGNATURE	
After reasonable inquiry and to the best of my kand correct.	knowledge and belief, I certify that t	he information set forth in this statement is true, complete
February 14, 2018		
	Ву:	/s/ Scott Tarriff Scott Tarriff
	Tarriff 2016 Genera	tion Skipping Exempt Family Trust DTD 12/28/2016
	By: Name: Title:	/s/ Michael Graves Michael Graves Trustee
	Ву:	/s/ Michael Graves Michael Graves
EXHIBITS		
A- Joint Filing Agreement		
A- Joint Filing Agreement		
	7	
	,	

Exhibit A

#### JOINT FILING AGREEMENT

The undersigned hereby agree that the foregoing statement on Schedule 13G is filed on behalf of each of the undersigned, and any amendments thereto executed by the undersigned shall be filed on behalf of each of the undersigned without the necessity of filing any additional joint filing agreement. The undersigned acknowledge that each is responsible for the timely filing of such statement on Schedule 13G and any amendments thereto, and for the completeness and accuracy of the information concerning it contained therein, but shall not be responsible for the completeness or accuracy of the information concerning the others of the undersigned, except to the extent that it knows or has reason to believe that such information is inaccurate or incomplete. This Joint Filing Agreement may be executed in any number of counterparts, all of which taken together shall constitute one and the same instrument.

IN WITNESS WHEREOF, the undersigned have executed this Joint Filing Agreement as of February 14, 2018.

By: /s/ Scott Tarriff

Scott Tarriff

#### Tarriff 2016 Generation Skipping Exempt Family Trust DTD 12/28/2016

By: /s/ Michael Graves
Name: Michael Graves

Title: Trustee

By: /s/ Michael Graves

Michael Graves