

Zosano Pharma Corp
Form 3
March 28, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol
Â Amzak Capital Management, LLC			(Month/Day/Year)	Zosano Pharma Corp [ZSAN]
(Last)	(First)	(Middle)		
980 N FEDERAL HIGHWAY SUITE 315,			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
BOCA RATON,Â FLÂ 33432			☐ Director ☐ Officer (give title below)	☒ 10% Owner ☐ Other (specify below)
(City)	(State)	(Zip)	6. Individual or Joint/Group Filing(Check Applicable Line) ☐ Form filed by One Reporting Person ☒ Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, par value of \$0.0001	5,151,813	D <u>(1)</u>	Â
Common Stock, par value of \$0.0001	118,033	I	Owned by Michael D. Kazma, manager of Amzak Capital Management, LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Series A Warrant ⁽¹⁾	08/19/2016	08/26/2017	Common Stock	1,095,153	\$ 1.45
Series B Warrant ⁽¹⁾	08/19/2016	08/19/2021	Common Stock	1,095,153	\$ 1.55

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Amzak Capital Management, LLC 980 N FEDERAL HIGHWAY SUITE 315, BOCA RATON, FL 33432	Â	Â X	Â	Â
Kazma Michael D 980 N FEDERAL HIGHWAY SUITE 315, BOCA RATON, FL 33432	Â	Â X	Â	Â
Kazma Gerald J 980 N FEDERAL HIGHWAY SUITE 315, BOCA RATON, FL 33432	Â	Â X	Â	Â

Signatures

AMZAK CAPITAL MANAGEMENT, LLC By: /s/ Michael Kazma MICHAEL D KAZMA
PRESIDENT /s/ Michael D. Kazma MICHAEL KAZMA /s/ Gerry Kazma GERRY KAZMA

03/27/2017

____ Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The securities reported as directly beneficially owned by the Reporting Person may be deemed to be indirectly beneficially owned by each of the Reporting Owners listed below, each of whom is a manager of the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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