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CIPHERGEN BIOSYSTEMS INC

Form NT 10-K March 17, 2005

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 12b-25

NOTIFICATION OF LATE FILING

OMB APPROVAL
OMB Number: 3235-0058
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SEC FILE NUMBER 000-31617 CUSIP NUMBER

(Check one): ý Form 10-K

o Form 20-F

o Form 11-K o Form 10-Q

o Form N-SAR

o Form N-CSR

For Period Ended: December 31, 2004

- o Transition Report on Form 10-K
- o Transition Report on Form 20-F
- o Transition Report on Form 11-K
- o Transition Report on Form 10-Q
- o Transition Report on Form N-SAR
- o Transition Report on Form N-CSR

For the Transition Period Ended:

Nothing in this form shall be construed to imply that the Commission has verified any information contained herein.

If the notification relates to a portion of the filing checked above, identify the Item(s) to which the notification relates:

## PART I REGISTRANT INFORMATION

# Ciphergen Biosystems, Inc.

Full Name of Registrant

## **Abiotic Systems**

Former Name if Applicable

## 6611 Dumbarton Circle

Address of Principal Executive Office (Street and Number)

#### Fremont, CA 94555

City, State and Zip Code

## PART II RULES 12b-25(b) AND (c)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed. (Check box if appropriate)  $\circ$ 

- (a) The reason described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense
- (b)

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The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, Form 11-K, Form N-SAR or Form N-CSR, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report or transition report on Form 10-Q, or portion thereof, will be filed on or before the fifth calendar day following the prescribed due date; and

(c) The accountant's statement or other exhibit required by Rule 12b-25(c) has been attached if applicable.

#### PART III NARRATIVE

State below in reasonable detail the reasons why Forms 10-K, 20-F, 11-K, 10-Q, N-SAR, N-CSR, or the transition report or portion thereof, could not be filed within the prescribed time period.

(Attach extra Sheets if Needed)

Ciphergen Biosystems, Inc. (the Company) files this report for a 15 day extension, from March 16 to March 31, 2005, for filing its Annual Report on Form 10-K for the period ended December 31, 2004. The Company will not file its Form 10-K by March 16, 2005 because it will be unable to complete the preparation of its consolidated financial statements and the additional work required to complete management s assessment of internal controls over financial reporting in accordance with Section 404 of Sarbanes-Oxley and the rules of the Public Company Accounting Oversight Board by the initial filing date without unreasonable effort or expense. The Company anticipates that it will be able to file its complete Annual Report on Form 10-K by March 31, 2005. As of the date of this filing, management of the Company has not identified any material weaknesses (as defined in the Public Company Accounting Oversight Board Auditing Standard No. 2) in its internal controls over financial reporting, however the evaluation process is still ongoing.

SEC 1344 (07-03) Persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

number.

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#### PART IV OTHER INFORMATION

(1) Name and telephone number of person to contact in regard to this notification

Matthew J. Hogan 510 505-2100 (Name) (Area Code) (Telephone Number)

(2) Have all other periodic reports required under Section 13 or 15(d) of the Securities Exchange Act of 1934 or Section 30 of the Investment Company Act of 1940 during the preceding 12 months or for such shorter period that the registrant was required to file such report(s) been filed? If answer is no, identify report(s).

ý Yes o No

(3) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

ý Yes o No

If so, attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.

The Company's results of operations for the year ended December 31, 2004, to be reported in the Form 10-K, will reflect significant changes from the prior year, unrelated to the causes for the delay described in Part III. The anticipated change is in part, based on the Company's completion of the sale of its BioSepra chromatography business to Pall Corporation on November 30, 2004. Therefore there were significant changes in the results of operations from the corresponding period for the last fiscal year due to the classifications of the BioSepra chromatography business as discontinued operations in the statement of operations. On February 18, 2005 the Company issued a press release reporting its results for the year ended December 31, 2004. A copy of the press release was furnished as an exhibit to the Company's report on Form 8-K dated February 18, 2005, which is incorporated herein by reference.

#### Ciphergen Biosystems, Inc.

(Name of Registrant as Specified in Charter)

has caused this notification to be signed on its behalf by the undersigned hereunto duly authorized.

Date March 17, 2005 By: /s/ Matthew J. Hogan

Name: Matthew J. Hogan

Title: Senior Vice President and Chief

Financial Officer

INSTRUCTION: The form may be signed by an executive officer of the registrant or by any other duly authorized representative. The name and title of the person signing the form shall be typed or printed beneath the signature. If the statement is signed on behalf of the registrant by an authorized representative (other than an executive officer), evidence of the representative's authority to sign on behalf of the registrant shall be filed with the form.

## ATTENTION

Intentional misstatements or omissions of fact constitute Federal Criminal Violations (See 18 U.S.C. 1001).