

Quist S. Andrew
Form 5
January 05, 2018

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
Quist S. Andrew

2. Issuer Name and Ticker or Trading Symbol
SECURITY NATIONAL FINANCIAL CORP [SNFCA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2017

Director 10% Owner
 Officer (give title below) Other (specify below)
VP; Assoc General Counsel

272 EAST BROADLEAF CIRCLE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

DRAPER, UT 84020

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|-----------------------------------|
| Class A Common Stock | 12/19/2017 | ^ | G | 4,000 D \$ 0 | 6,532 (1) | D | ^ |
| Class A Common Stock | 12/22/2017 | ^ | G | 4,000 D \$ 0 | 2,532 (1) | D | ^ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Underlying Securities |
|--|--|--------------------------------------|--|--------------------------------|---|-----|--|-----------------|---|--|
| | | | | | (A) | (D) | Date Exercisable | Expiration Date | Title | |
| Employee Stock Option (right to buy) | \$ 0.96 ⁽²⁾ | 12/02/2011 | Â | A | 20,012 ⁽²⁾ | Â | 03/02/2012 | 12/02/2021 | Class A Common Stock | 20 |
| Employee Stock Option (right to buy) | \$ 1.21 ⁽³⁾ | 04/13/2012 | Â | A | 19,145 ⁽³⁾ | Â | 07/13/2012 | 04/13/2022 | Class A Common Stock | 19 |
| Employee Stock Option (right to buy) | \$ 3.95 ⁽⁴⁾ | 12/06/2013 | Â | A | 12,155 ⁽⁴⁾ | Â | 03/06/2014 | 12/06/2023 | Class A Common Stock | 12 |
| Employee Stock Option (right to buy) | \$ 3.69 ⁽⁵⁾ | 07/02/2014 | Â | A | 11,576 ⁽⁵⁾ | Â | 10/02/2014 | 07/02/2024 | Class A Common Stock | 11 |
| Employee Stock Option (right to buy) | \$ 4.3 ⁽⁶⁾ | 12/05/2014 | Â | A | 23,153 ⁽⁶⁾ | Â | 03/05/2015 | 12/05/2024 | Class A Common Stock | 23 |
| Employee Stock Option (right to buy) | \$ 6.06 ⁽⁷⁾ | 12/04/2015 | Â | A | 23,153 ⁽⁷⁾ | Â | 03/04/2016 | 12/04/2025 | Class A Common Stock | 23 |
| Employee Stock Option (right to buy) | \$ 6.68 ⁽⁸⁾ | 12/02/2016 | Â | A | 21,000 ⁽⁸⁾ | Â | 03/02/2017 ⁽⁸⁾ | 12/02/2026 | Class A Common Stock | 21 |

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per share. This option vests in four equal quarterly installments of Class C Common Stock, beginning on March 1, 2018, until such shares are fully vested.

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