

WISCONSIN ENERGY CORP

Form 4

January 04, 2013

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**LEVERETT ALLEN L**

2. Issuer Name **and** Ticker or Trading  
Symbol  
**WISCONSIN ENERGY CORP**  
**[WEC]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
**231 WEST MICHIGAN STREET**  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
**01/02/2013**

\_\_\_\_ Director \_\_\_\_ 10% Owner  
☒ Officer (give title below) \_\_\_\_ Other (specify below)  
**Executive Vice President**

**MILWAUKEE, WI 53203**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                          | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                |                                                                   |
| Common<br>Stock                       | 01/02/2013                              |                                                             | A                                    |                                                                            | 7,677                                                                                                              | A \$ 0                                                               | 43,437 D                                                          |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                            |                                                                                                                    | 2,607.363 <sup>(1)</sup>                                             | I ERSP                                                            |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control  
number.**

SEC 1474  
(9-02)

# Edgar Filing: WISCONSIN ENERGY CORP - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                            |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|------------------------------------------------------------------|--------------------|-----------------|----------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V                                                                                                         | (A)                                                            | (D) | Date Exercisable                                                 | Expiration<br>Date | Title           | Amount<br>Number<br>Shares |
| Stock<br>Option<br>(Right to<br>buy)                | \$ 37.46                                                           | 01/02/2013                              |                                                             | A                                    |                                                                                                           | 197,360                                                        |     | 01/02/2016 <sup>(2)</sup>                                        | 01/02/2023         | Common<br>Stock | 197,360                    |
| Stock<br>Option<br>(Right to<br>buy)                | \$ 19.7375<br><sup>(3)</sup>                                       |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 01/03/2009                                                       | 01/03/2016         | Common<br>Stock | 190,000                    |
| Stock<br>Option<br>(Right to<br>buy)                | \$ 23.8775<br><sup>(4)</sup>                                       |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 01/03/2010                                                       | 01/03/2017         | Common<br>Stock | 258,000                    |
| Stock<br>Option<br>(Right to<br>buy)                | \$ 24.0175<br><sup>(5)</sup>                                       |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 01/02/2011                                                       | 01/02/2018         | Common<br>Stock | 328,500                    |
| Stock<br>Option<br>(Right to<br>buy)                | \$ 21.1075<br><sup>(6)</sup>                                       |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 01/02/2012                                                       | 01/02/2019         | Common<br>Stock | 292,000                    |
| Stock<br>Option<br>(Right to<br>buy)                | \$ 24.92<br><sup>(7)</sup>                                         |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 01/04/2013                                                       | 01/04/2020         | Common<br>Stock | 68,200                     |
| Stock<br>Option<br>(Right to<br>buy)                | \$ 29.3475<br><sup>(8)</sup>                                       |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 01/03/2014                                                       | 01/03/2021         | Common<br>Stock | 56,500                     |

## Reporting Owners

| Reporting Owner Name / Address | Relationships                    |
|--------------------------------|----------------------------------|
|                                | Director 10% Owner Officer Other |
|                                | Executive Vice President         |

LEVERETT ALLEN L  
231 WEST MICHIGAN STREET  
MILWAUKEE, WI 53203

## Signatures

/s/ Joshua M. Erickson, as  
Attorney-in-Fact

01/04/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes shares acquired under Wisconsin Energy Corporation's Employee Retirement Savings Plan (ERSP) in transactions exempt from Section 16(b) pursuant to Rule 16b-3(c) and exempt from reporting pursuant to Rule 16a-3(f)(1)(i)(B). The number of shares in the ERSP attributable to any one participant varies with the price of the Common Stock. The information in this report is based on a plan statement dated as of December 31, 2012.
- (2) Options vest 100% on the date indicated.
- (3) This option was previously reported as covering 95,000 shares at an exercise price of \$39.475 per share, but was adjusted to reflect the 2-for-1 stock split that occurred on March 1, 2011.
- (4) This option was previously reported as covering 129,000 shares at an exercise price of \$47.755 per share, but was adjusted to reflect the 2-for-1 stock split that occurred on March 1, 2011.
- (5) This option was previously reported as covering 164,250 shares at an exercise price of \$48.035 per share, but was adjusted to reflect the 2-for-1 stock split that occurred on March 1, 2011.
- (6) This option was previously reported as covering 146,000 shares at an exercise price of \$42.215 per share, but was adjusted to reflect the 2-for-1 stock split that occurred on March 1, 2011.
- (7) This option was previously reported as covering 34,105 shares at an exercise price of \$49.84 per share, but was adjusted to reflect the 2-for-1 stock split that occurred on March 1, 2011.
- (8) This option was previously reported as covering 28,270 shares at an exercise price of \$58.695 per share, but was adjusted to reflect the 2-for-1 stock split that occurred on March 1, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.