

UNITED TECHNOLOGIES CORP /DE/

Form 4

October 19, 2006

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TRACHSEL WILLIAM H

(Last) (First) (Middle)

UNITED TECHNOLOGIES  
CORPORATION, ONE  
FINANCIAL PLAZA

(Street)

HARTFORD, CT 06101

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol

UNITED TECHNOLOGIES CORP  
/DE/ [UTX]

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/18/2006

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
SVP and General Counsel

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                   |                                                                   |
| Common<br>Stock                       | 10/18/2006                              |                                                             | M                                    |                                                                         | 12,000                                                                                                             | A \$<br>18.2812                                                         | 72,824 D                                                          |
| Common<br>Stock                       | 10/18/2006                              |                                                             | F                                    |                                                                         | 3,346                                                                                                              | D \$ 65.57                                                              | 69,478 D                                                          |
| Common<br>Stock                       | 10/18/2006                              |                                                             | F                                    |                                                                         | 3,599                                                                                                              | D \$ 65.57                                                              | 65,879 D                                                          |
| Common<br>Stock                       | 10/18/2006                              |                                                             | M                                    |                                                                         | 60,000                                                                                                             | A \$<br>18.2812                                                         | 125,879 D                                                         |
|                                       | 10/18/2006                              |                                                             | F                                    |                                                                         | 16,729                                                                                                             | D \$ 65.57                                                              | 109,150 D                                                         |

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|              |            |   |        |   |          |                       |   |                         |
|--------------|------------|---|--------|---|----------|-----------------------|---|-------------------------|
| Common Stock |            |   |        |   |          |                       |   |                         |
| Common Stock | 10/18/2006 | F | 17,995 | D | \$ 65.57 | 91,155                | D |                         |
| Common Stock | 10/19/2006 | S | 900    | D | \$ 65.17 | 90,255                | D |                         |
| Common Stock | 10/19/2006 | S | 100    | D | \$ 65.16 | 90,155                | D |                         |
| Common Stock | 10/19/2006 | S | 1,900  | D | \$ 65.14 | 88,255                | D |                         |
| Common Stock | 10/19/2006 | S | 4,831  | D | \$ 65.13 | 83,424                | D |                         |
| Common Stock | 10/19/2006 | S | 1,600  | D | \$ 65.12 | 81,824                | D |                         |
| Common Stock | 10/19/2006 | S | 100    | D | \$ 65.11 | 81,724                | D |                         |
| Common Stock | 10/19/2006 | S | 11,300 | D | \$ 65.1  | 70,424                | D |                         |
| Common Stock | 10/19/2006 | S | 4,000  | D | \$ 65.04 | 66,424                | D |                         |
| Common Stock | 10/19/2006 | S | 5,400  | D | \$ 65.01 | 61,024 <sup>(1)</sup> | D |                         |
| Common Stock | 10/19/2006 | S | 200    | D | \$ 65.15 | 60,824                | D |                         |
| Common Stock |            |   |        |   |          | 12,913.72             | I | By Savings Plan Trustee |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|

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|                                                 |            |            | Code | V | (A) | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Am<br>or<br>Num<br>of S |
|-------------------------------------------------|------------|------------|------|---|-----|-----|---------------------|--------------------|-----------------|-------------------------|
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 18.2812 | 10/18/2006 | M    |   |     |     | 01/02/2001          | 01/01/2008         | Common<br>Stock | 12                      |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 18.2812 | 10/18/2006 | M    |   |     |     | 01/02/2001          | 01/01/2008         | Common<br>Stock | 60                      |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

TRACHSEL WILLIAM H  
UNITED TECHNOLOGIES CORPORATION  
ONE FINANCIAL PLAZA  
HARTFORD, CT 06101

SVP and General Counsel

## Signatures

By: /s/ Charles F. Hildebrand as  
Attorney-in-Fact

10/19/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person also directly owns 22,936 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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