

McVey Richard M
Form 4
November 13, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
McVey Richard M

2. Issuer Name and Ticker or Trading Symbol
MARKETAXESS HOLDINGS INC
[MKTX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O MARKETAXESS HOLDINGS INC., 299 PARK AVENUE

11/08/2018

Chairman & CEO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

NEW YORK, NY 10171

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock, par value \$0.003 per share	11/08/2018		S ⁽¹⁾		5,929	D	\$ 203.9467
							(2)
Common Stock, par value \$0.003 per share	11/08/2018		S ⁽¹⁾		5,730	D	\$ 205.1444
							(3)
	11/08/2018		S ⁽¹⁾		5,340	D	1,047,847

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Common Stock, par value					\$			
\$0.003 per share					205.9751			
					<u>(4)</u>			
Common Stock, par value	11/08/2018		S ⁽¹⁾	1,400	D	\$	207.2473	1,046,447
\$0.003 per share						<u>(5)</u>		D
Common Stock, par value	11/08/2018		S ⁽¹⁾	1,601	D	\$	207.9454	1,044,846
\$0.003 per share						<u>(6)</u>		D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 257.78	11/08/2018		A	69,113	11/08/2023	05/08/2024	Common Stock	69,113
Employee Stock Option (right to buy)	\$ 278.4	11/08/2018		A	79,411	11/08/2023	05/08/2024	Common Stock	79,411
Performance Shares	<u>(7)</u>	11/08/2018		A	17,942	<u>(7)</u>	<u>(7)</u>	Common Stock	17,942
Performance Shares	<u>(8)</u>	11/08/2018		A	19,800	<u>(8)</u>	<u>(8)</u>	Common Stock	19,800

